

*me* *SECRET #* #3  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination  
Harald Boehm et al. : Division  
Box Missing Parts

Serial No.: 09/960,114 : Docket No.: DE920000077US1  
Confirmation No. 4262

Filed: September 21, 2001 : Date: December 21, 2001

For: Method and System for Testing a Processor

To: Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

COPY OF PAPERS  
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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Initial Patent Examination Division  
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Washington, DC 20231

on December 21, 2001

Michelle Parra  
(Print name of person certifying)

Michelle Parra  
(Signature of person certifying)

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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COPY OF PAPERS  
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In re Patent Application of : Initial Patent Examination  
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                                  : Docket No.: DE920000077US1  
Harald Boehm et al.          :  
                                  : Confirmation No. 4262  
                                  :  
Serial No.: 09/960,154      :  
                                  :  
                                  :  
Filed: September 21, 2001    : Date: December 21, 2001

For: Method and System for Testing a Processor

**FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63**  
**FILING DECLARATION AND POWER OF ATTORNEY**  
**FILING ASSIGNMENT**

To: Commissioner for Patents  
Initial Patent Examination Division  
Washington, D.C. 20231

Sir:

On September 21, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(b) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the six inventors.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit

Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed October 23, 2001, is also attached as per your instructions.

Respectfully submitted,  
Attorney for the Applicants

by Gail H. Zarick  
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IBM Thomas J. Watson Research Center  
Intellectual Property Law Department  
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Attorney Docket: DE920000077US1

## UNITED STATES PATENT AND TRADEMARK OFFICE

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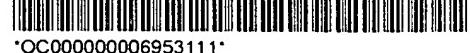


APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,154	09/21/2001	Harald Boehm	DI-920000077-US1

IBM CORPORATION  
 INTELLECTUAL PROPERTY LAW DEPT.  
 P.O. BOX 218  
 YORKTOWN HEIGHTS, NY 10598

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## FORMALITIES LETTER



\*OC000000006953111\*

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE.